

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: HENSON et al.

Group Art Unit: 3624

Application No.: 10/805,063

Examiner: Not yet assigned

Filed: March 19, 2004

Atty Dkt. No. 0516625.0101



Title: **REVENUE SHARE INTEREST METHOD OF FINANCING AN ASSET  
MANAGEMENT FIRM**

MAIL STOP MISSING PARTS  
COMMISSIONER FOR PATENTS  
P.O. BOX 1450  
ALEXANDRIA, VA 22313-1450

**PETITION UNDER 37 C.F.R. § 1.47(a)**  
**TO PROCEED WITHOUT THE SIGNATURE OF WILLIAM P. HENSON**

Sir:

This is a petition for acceptance of the above-identified application, which is being filed without the signature of a joint inventor who has refused to join in the application for patent. The present application is being made by Alexander G. von York, Norton H. Reamer and Robert S. Jakacki on behalf of themselves and the non-signing joint inventor, William P. Henson. Submitted herewith is:

A. A Declaration of Norton H. Reamer containing the statement of facts in support of Petition under 37 C.F.R. 1.47(a);

B. A Proof of Delivery from Federal Express showing William Henson's signature indicating his receipt of a complete copy of the application and a Combined Declaration and Power of Attorney form on March 8, 2004; and

C. A check for \$130 in payment of the petition fee required under 37 C.F.R. 1.17(h).

The accompanying Declaration of Norton H. Reamer and the Proof of Delivery from Federal Express establishes proof of the pertinent facts that Mr. Henson was provided with a complete copy of the application, including the specification, claims and figures, and a Combined Declaration and Power of Attorney form to sign, and that Mr. Henson was not willing to sign the Combined Declaration and Power of Attorney form provided to him. A Combined

08/05/2004 AUCNDAF1 00000036 10805063

01 FC:1451

130.00 DP

1

DC-645531 v1 0516625-0101

08/05/2004 AUCNDAF1 00000037 10805063 130.00 DP  
01 FC:1460

Declaration and Power of Attorney form signed by Alexander G. von York, Norton H. Reamer and Robert S. Jakacki was filed with the present application on March 19, 2004.

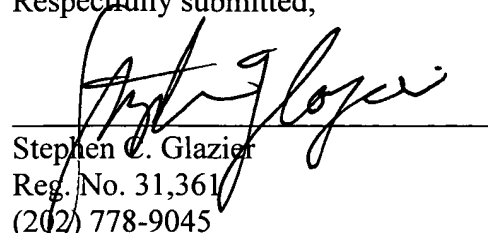
Therefore, a request is hereby made to grant this Petition to proceed without the signature of William P. Henson.

Mr. Henson's last known residence address is 256 Loring Avenue, Pelham, NY 10803.

Please apply any additional charges or credits to Deposit Account No. 50-1721.

Respectfully submitted,

30 July 04

  
\_\_\_\_\_  
Stephen C. Glazier  
Reg. No. 31,361  
(202) 778-9045

Date: \_\_\_\_\_

Kirkpatrick & Lockhart LLP  
1800 Massachusetts Ave., NW  
2nd Floor  
Washington, DC 20036  
(202) 778-9000  
Fax: (202) 778-9100

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: HENSON et al.

Application No.: 10/805,063

Filed: March 19, 2004



Group Art Unit: 3624

Examiner: Not yet assigned

Atty Dkt. No. 0516625.0101

**Title: REVENUE SHARE INTEREST METHOD OF FINANCING AN ASSET  
MANAGEMENT FIRM**

MAIL STOP PETITION  
COMMISSIONER FOR PATENTS  
P.O. BOX 1450  
ALEXANDRIA, VA 22313-1450

**DECLARATION OF NORTON H. REAMER**  
**IN SUPPORT OF PETITION UNDER 37 C.F.R. § 1.47(a)**

I, Norton H. Reamer, hereby declare:

1. I am employed by Asset Management Finance Corporation, a Delaware corporation, and currently hold the title of President and Chief Executive Officer.

2. William P. Henson is a joint inventor of the above-identified patent application. Mr. Henson is a former employee of Putnam Lovell NBF Securities Inc., an Assignee of the present application. Putnam Lovell NBF Securities Inc. has assigned its interest in the present application to Asset Management Finance Corporation.

3. On March 8, 2004, I presented to Mr. Henson by Federal Express a complete copy of the above-identified application, including the specification, claims and figures, and a Combined Declaration and Power of Attorney form to sign. The Federal Express proof of delivery to Mr. Henson is attached.

4. On several occasions in March and April 2004, Mr. Henson informed me that he had received the complete application and Combined Declaration and Power of Attorney form, and that he was not willing to sign the Combined Declaration and Power of Attorney form.

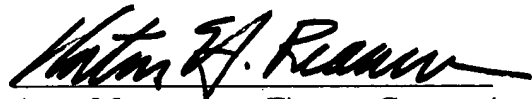
5. The last known address of William P. Henson is 256 Loring Avenue, Pelham, NY 10803.

6. The undersigned hereby declares that all statements made herein of his own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements are made with knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1008 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Respectfully submitted,

Date: \_\_\_\_\_

7/9/04



Asset Management Finance Corporation  
By: Norton H. Reamer  
President and CEO



14/2004

FedEx Express  
Customer Support Trace  
3875 Airways Boulevard  
Module H, 4th Floor  
Memphis, TN 38118

U.S. Mail: PO Box 727  
Memphis, TN 38194-4843

Telephone: 901-369-3600



Dear Customer:

Here is the proof of delivery for the shipment with tracking number **791789151089**. Our records reflect the following information.

---

**Delivery Information:**

---

**Signed For By: W.HENSON****Delivery Location: 256 LORING AVENUE****Delivery Date: March 8, 2004****Delivery Time: 1312**

---

**Shipping Information:**

---

**Tracking No: 791789151089****Ship Date: March 4, 2004****Recipient:**

WILLIAM P. HENSON

256 LORING AVENUE  
NEW YORK, NY 10803  
US

**Shipper:**

DAVID GORCZYNSKI  
PUTNAM LOVELL  
4 EMBARCADERO CTR # F26  
SAN FRANCISCO, CA 94114106  
US

**Shipment Reference Information:**

029

Thank you for choosing FedEx Express. We look forward to working with you in the future.

FedEx Worldwide Customer Service

1-800-Go-FedEx®

Reference No.: R2004061400132551541